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PTO/SB/21 (08-00)

ease type a plus sign (+) inside this box —> [+] Approved for use through 10/31/2002. OMB 0651-0031

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		IFE	A	-41 Nove-bas	09/777,00	7	
TRAN	SMITTAL		E \	ation Number			
TRANSMITTAL 10 2001			Filing Dat		February 2, 2001		
			First Named Inventor		Wang, Hsingya Arthur		
(to be used for all correspondence after initial films)			Group	Art Unit	2818		
			Examiner Name		Unassign	ed	
Total Number of Pages	in This Submission	16	Attorne	ey Docket Number	00939A04	15100	
		ENCL	OSURES	(check all that apply)			
Fee Transmittal Fo	orm		ment Pap Application		After A Group	llowance Communication to	
Fee Attached	Fee Attached		ng(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	ponse	Licens	ing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final				Slip (PTO/SB/69) ring Petition	Proprietary Information		
Affidavits/decl	Petition to Convert to a Provisional Application			Status	Letter		
Extension of Time	Power of Attorney, Revocation Change of Correspondence Address				Enclosure(s) identify below):		
Express Abandoni	☐ Terminal Disclaimer ☐ Request for Refund				NOTICE OF INCOMPLETE ONPROVISIONAL), Return		
☐ Information Disclosure Statement		CD, Number of CD(s)					
Certified Copy of Priority Document(s)		The Commissioner is authorized to charge any ad Deposit Account 20-1430.			charge any additional fees to		
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53					<u></u>		
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT		
Firm	Townsend and To	wnsend and	Crew LLF				
and Individual name	William E. Winters		f	Reg No	. 42,232		
Signature	ignature						
Date 09/05/01							
		CE	RTIFICA	ATE OF MAILING			
I hereby certify that th class mail in an envelo	is correspondence i ope addressed to: A	s being depo Assistant Co	osited with mmissione	n the United States Post er for Patents, Washingt	al Service wi on, D.C. 202	th sufficient postage as first 31 on this date: September 5, 2001	
Typed or printed name	e Sherry Barto	n					
Signature	Shor	ry C	Rant	on/	Date	September 5, 2001	

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SF 1266444 v1

PTO/SB/17 (09-00)
A ed for use through 10/31/2002. OMB 0651-0032
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FEE TRANS	A ALTERIAD A	Complete If Known				
FEE IRANS	MINO ALE	Application Number	09/777,007			
for FY 2	2	1 Filing Date	February 2, 2001			
	SEP 1 V ZUVI	First Named Inventor	Wang, Hsingya Arthur			
Patent fees are subject to	annua Axision.	Examiner Name	Unassigned			
	annua Rysision.	Group Art Unit	2818	- ··-		
TOTAL AMOUNT OF PAYMENT	(\$) 1390	Attorney Docket No.	00939A-045100US			

METHOD OF PAYMENT					FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge			3. ADDITIONAL FEES								
1. 🖾		indica	ated fees	and credit any over payr	ments to:	Large	Entity	Small	Entity		
Dep	osit					Fee	Fee	Fee	Fee	Fee Description	Fee
Acc		20-	1430	•		Code	(\$)	Code	(\$) 05	•	Paid
Nun						105	130 50	205	65	Surcharge - late filing fee or oath	
Deposit						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP						139	130	139	130	Non-English specification	
Name					147	2,520	147	2,520	For filing a request for reexamination		
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status. See 37 CFR 1.27						113	1,840*	113	1,840°	Requesting publication of SIR after Examiner action	
2.		ent Encl	osed:			115	110	215	55	Extension for reply within first month	
_		_				116	390	216	195	Extension for reply within second	
	Check	☐ Cr	edit card		Other					month	
Order						117	890	217	445	Extension for reply within third month	
FEE CALCULATION 1. BASIC FILING FEE					118	1,390	218	695	Extension for reply within fourth month	1390	
i. Large	Entity		Entity			128	1,890	228	945	Extension for reply within fifth month	
Fee	Fee	Fee		Fee Description		119	310	219	155	Notice of Appeal	
Code	(\$)	Code	(\$)		Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101	710	201	355	Utility filing fee		121	270	221	·135	Request for oral hearing	
106 107	320 490	206		Design filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
107	710	207 _. 208		Plant filing fee		140	110	240	55	Petition to revive – unavoidable	
114	150	214		Reissue filing fee		141	1,240	241	620	Petition to revive – unintentional	
114	150	214	75	Provisional filing fee		142	1,240	242	620	Utility issue fee (or reissue)	
		SI	JBTOTAL	L (1)	(\$)	143	440	243	220	Design issue fee	
				**		144	600	244	300	Plant issue fee	
2. EXT	RA ÇLAI	M FEES			_	122	130	122	130	Petitions to the Commissioner	\vdash
				Extra Fee from Claims below	Fee Paid					Petitions related to provisional	
Total Clair	ms	20*		X Delow	=	123	50	123	50	applications	
Independe Claims	nt	-3*1	• =	×	=	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependen	1		_	×	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large	Entity		Entity	y		146	710	246	355	Filing a submission after final rejection	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		149	710	249	355	(37 CFR § 1.129(a)) For each additional invention to be	\vdash
103	18	203	9	Claims in excess of 2	0	1		-		examined (37 CFR § 1.129(b))	
102	80	202	40	Independent claims in	excess of 3	179	710	279	355	Request for Continued Examination (RCE)]
104	270	204	135	Multiple dependent d	aim, if not paid	169	900				
109	80	209	40	** Reissue Independe onginal patent	** Reissue Independent claims over			169	900	Request for expedited examination of a design application	
110	** Reissue claims in excess of 20 and					Other fee (specify)					
				r	 1	The Commissioner is authorized to charge any additional fees to					
SUBTOTAL (2) (\$)				the above noted Deposit Account.							
						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)1390					
**or number previously paid, if greater; For Reissues, see above											

SUBMITTED BY	· · · · · · · · · · · · · · · · · · ·	C	Complete (if applicable)			
Name (Print/Type)	William E. Winters	Registration No. (Attorney/Agent)	42,232	Telephone	415-576-0200	
Signature			·	Date	09/05/01	

I hereby certify that this correspondence is being deposited with the United

States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231

On Leptamber 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hsingya Arthur Wang, et al.

Application No.: 09/777,007

TOWNSEND and TOWNSEND and CREW LLP

Filed: February 2, 2001

For: SOURCE SIDE PROGRAMMING

Art Unit:

2818

REPLY TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Responsive to the Formalities Letter (Notice of Incomplete Reply (Nonprovisional), mailed August 10, 2001, Applicant submits herewith nine sheet of substitute drawings that are believed to be in compliance with 37 CFR §1.84.

If a telephone conference would in any way expedite prosecution of this application, an invitation is extended to call the undersinged at (415)-576-0200.

Respectfully submitted,

William E. Winters

Reg. No. 42,232

Hsingya Arthur Wang, et Application No.: 09/777,007 Page 2

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: (415) 576-0200

Fax: (415) 576-0300 WEW:sb SF 1265948 v1





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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/777,007

02/02/2001

Hsingya Arthur Wang

00939A-045100US

CONFIRMATION NO. 5469

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834



Date Mailed: 08/10/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE